

Appendix 4 Key Business Risks

| REF | Risk details | | | Current score | | | Action summary | | |
|------|--------------------------------|---|------------|---------------|-------|-------|----------------|------------------------------------|--------------------------------------|
| | Name | Summary of the risk (cause) / What is the impact? | Date added | Imp. | Prob. | Total | Owner | Mitigation plan development status | Mitigation plan implementaton status |
| CR11 | Cyber attack | Cause - Cyber Attack Impact - Potential for financial, legal and reputational damage or that we are targeted and locked out of essential systems. | Jun-20 | 4 | 4 | 16 | Sean Papworth | G | G |
| CR23 | Landlord Safety Checks | Cause: Failure to comply with Landlord Property Safety Compliance requirements. Impact: Regulatory failure, failure to comply with the law, incident causing injury or death, negative PR, and financial loss (compensation and / or fine) | Mar-21 | 4 | 4 | 16 | Ian Candlish | G | A |
| CR28 | Rough Sleeping Provision | Cause: Not able to continue Canonsgrove provision for a further two years and / or inability to find sufficient additional accommodation in time Impact: could result in 'return to streets' for those currently housed and lead to criticism from MHCLG, the public and poor reputation | Jan-22 | 4 | 5 | 20 | Simon Lewis | A | A |
| CR29 | Delay in Recycle More | Cause: Delay in rollout of Recycle More Impact (risk): Significant financial risk as savings delivered by the scheme would not be made | Jun-21 | 5 | 3 | 15 | Stuart Noyce | G | G |
| CR32 | Data breach with paper records | Cause: Through the information projects we have greatly improved our management of information, especially digital records through our switch to SharePoint online and associated retention schedules. The focus on this area was to safeguard the future. Next phase will be a review of the current paper estate to reconcile and audit that there is compliance under the retention periods Impact: This leaves us open to data breaches and fines for keeping information beyond agreed retention periods. | Jan-22 | 4 | 4 | 16 | Alison North | A | A |

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| Green = key actions identified & mitigation plan in place | Green = mitigation actions on target or completed |
| Amber = key actions identified but plan not fully developed | Amber = mitigation actions behind target, but impact not significant |
| Red = key actions NOT identified & NO plan in place | Red = mitigation actions significantly behind target |